

MASSACHUSETTS  
GENERAL HOSPITAL



CENTER FOR LAW  
BRAIN & BEHAVIOR



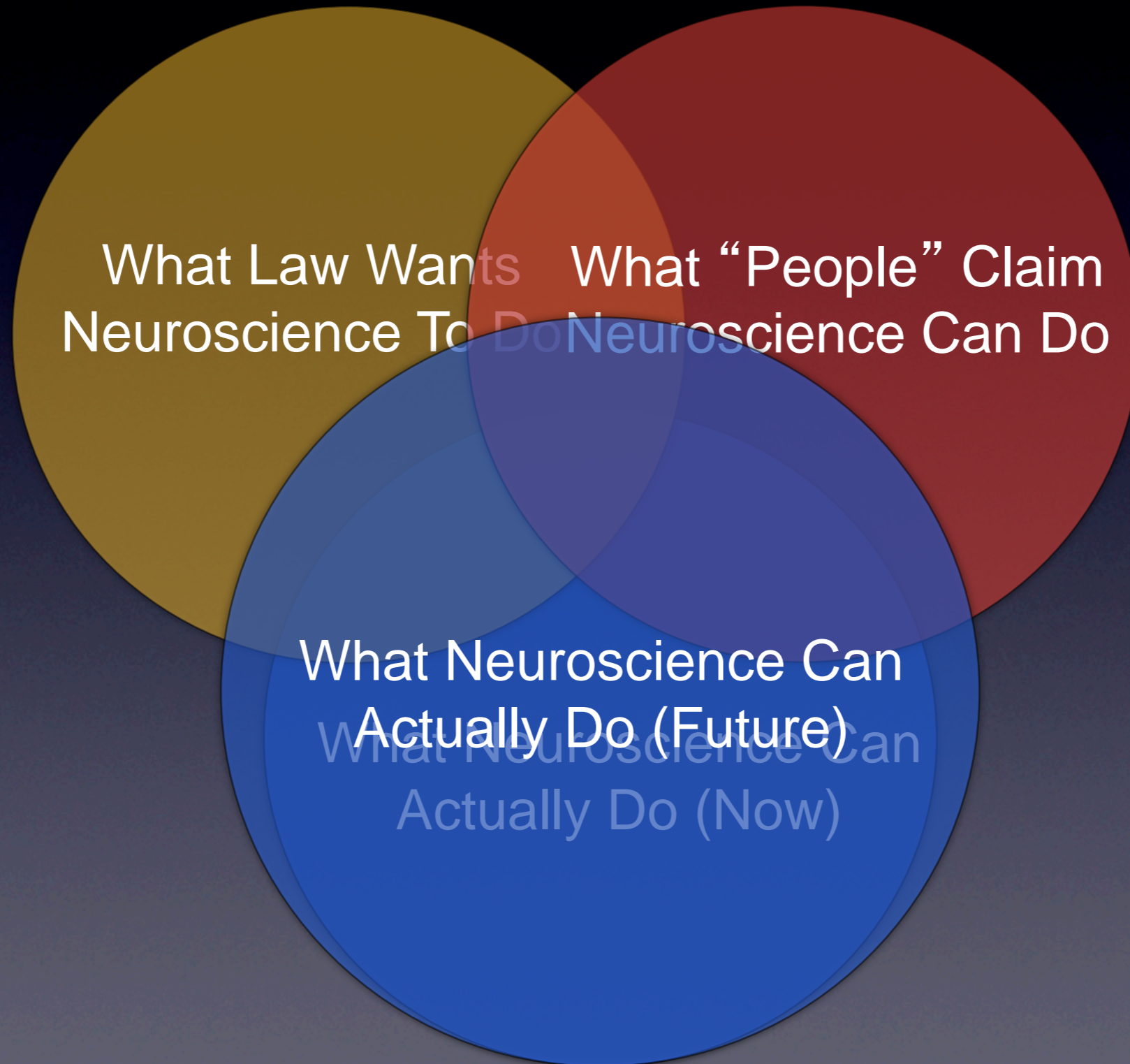
**SNPLab**

Systems Neuroscience of Psychopathology Lab

Joshua W. Buckholtz

# Fuzzy Laws, Broken Brains, and Bad Behavior: Big Problems for Neuroscience and Law

# The *Big Big* Problem



# Domains of Engagement

## *Mental States*



**Intent**

P v. K. v. R. v. N.

**Bias**

**Suffering**

**Lying**

# Domains of Engagement

## *Mental States*



### **Debate on brain scans as lie detectors highlighted in Maryland murder trial**

[Cereb Cortex](#). 2008 Feb;18(2):451-5. Epub

#### **Lie-specific involvement of dorsolateral prefrontal cortex in deception.**

[Priori A](#), [Mameli F](#), [Cogiamanian F](#), [Marceglia S](#), [Tiriticco M](#), [Mrakic-Sposta S](#), [Ferrucci R](#), [Zago S](#), [Polezzi D](#), [Sartori G](#).

Department of Neurological Sciences, University of Milan, Fondazione IRCCS Ospedale Maggiore Policlinico, Mangiagalli e Regina Elena

[J Forensic Sci](#). 2009 Jan;54(1):220-31. Epub 2008 Nov 29.

#### **Functional MRI detection of deception after committing a mock sabotage crime.**

[Kozel FA](#),  
Departmer

### **Neuroscientists: Mercenaries in the Courtroom**

Using brain imaging to select jurors and more could have disastrous results.

By [David DiSalvo](#) | Posted Thursday, Oct. 18, 2012, at 8:22 AM ET

[Neuron](#). 2009 Dec 10;64(5):756-70.

#### **The neural circuitry of a broken promise.**

[Baumgartner T](#), [Fischbacher U](#), [Feierabend A](#), [Lutz K](#), [Fehr E](#).

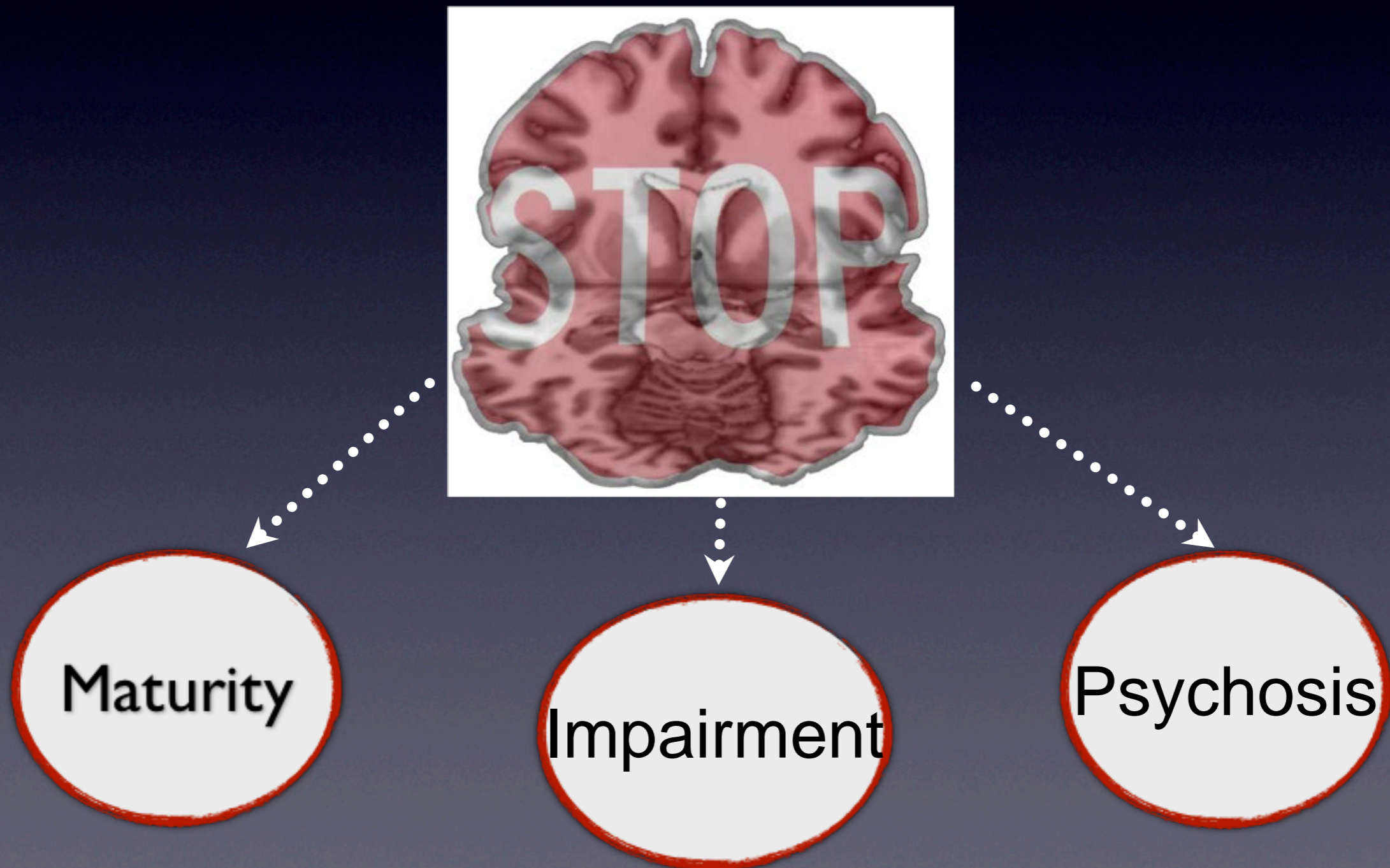
Institute for Empirical Research in Economics, Laboratory for Social and Neural Systems Research, University of Zurich, Switzerland

### **fMRI Lie Detection Gets Its Day in Court**

**Through a Scanner Darkly: Functional Neuroimaging as Evidence of a Criminal Defendant's Past Mental States**

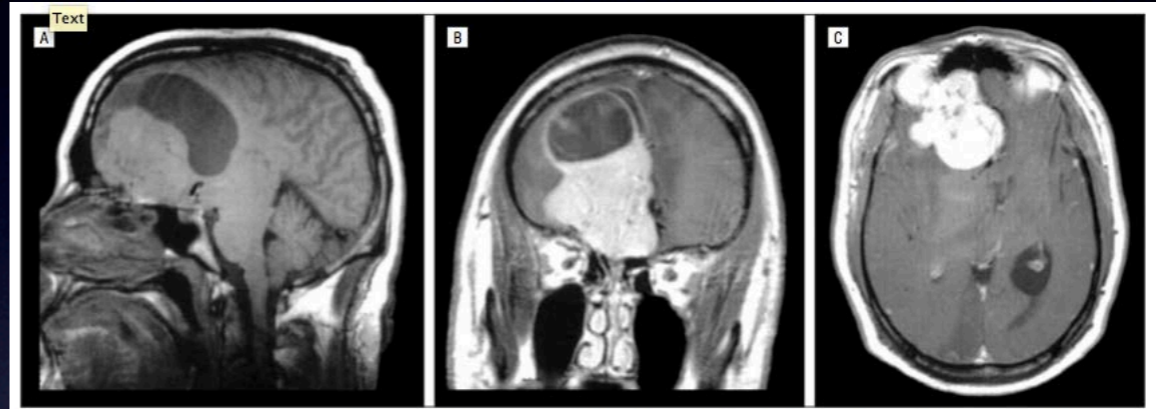
# Domains of Engagement

*Capacity (for “Self-Control”)*



# Domains of Engagement

## *Capacity (for “Self-Control”)*



**Brain tumour causes uncontrollable paedophilia**

### Psychopaths Get a Break from Biology

JUDGES REDUCE SENTENCES IF GENETICS, NEUROBIOLOGY ARE BLAMED

Neuroethics  
May 2011

### The Monoamine Oxidase A (MAOA) Genetic Predisposition to Impulsive Violence: Is It Relevant to Criminal Trials?

**Lighter sentence for murderer with  
'bad genes'**

Italian court reduces jail term after tests identify genes linked to violent behaviour.



# Domains of Engagement

## *Prediction and Prevention*



Future  
Dangerousness

Treatment  
Response

# Domains of Engagement

## *Prediction and Prevention*

June 12, 2011

### **Criminal Minds**

**Adrian Raine thinks brain scans can identify children who may become killers**

## **Should We Screen Kids' Brains and Genes To ID Future Criminals?**

**Screening IDs kids prone to be criminals, ASU professor says**

where several leading experts of genetics will be attending. Marchant said he plans to explore the possibility of screening young children for the MAO-A allele.

"If [the results] show that there are children demonstrating a very high risk of committing crime, and there was an effective intervention for them, whether it be pharmaceutical or behavioral, it could save a lot of grieving," he said.

***Genetic Variation in KCNH2 Associated With Expression in the Brain of a Unique hERG Isoform Modulates Treatment Response in Patients With Schizophrenia***

**Dopamine D2 receptor genetic variation and clinical response to antipsychotic drug treatment: A meta-analysis**

[Jian-Ping Zhang](#), MD, PhD, [Todd Lencz](#), PhD, and [Anil K. Malhotra](#), MD



# Domains of Engagement



*Mental States*



*Capacity*



*Prediction*





What Law Wants  
Neuroscience To Do

What "People" Claim  
Neuroscience Can Do

What Neuroscience Can  
Actually Do (Now)

# Plan of Attack

Clarify Relevant Legal  
Standards

Articulate What Law Wants From Science

Is It Operationalize-able?

Is Person-Level Inference  
Valid?

Is Brain Better Than  
Behavior?

# Plan of Attack

## Translation

Clarify Relevant Legal  
Standards

Articulate What Law Wants From  
Science

Is It Operationalize-able?

## Validation

Is Person-Level Inference  
Valid?

Is Brain Better Than  
Behavior?

# Translation Issues

## *Self-Control*

Irresistible Impulse

Volitional  
Impairment

Appreciate  
Wrongfulness of Conduct

Control Complex  
Bodily Functions

Premeditate

Contemplate  
Consequences



Waiting  
(e.g. CPT)

Reversing  
(e.g. WCST)

Suppressing  
(e.g. Stroop)

Delaying  
(e.g. temporal discounting)

Stopping  
(e.g. SSRT)

Not Starting  
(e.g. Go/NoGo)

# Translation Issues

## *Self-Control*

“Substantial inability to conform behavior to the requirements of the law”



Waiting  
(e.g. CPT)

Reversing  
(e.g. WCST)

Suppressing  
(e.g. Stroop)

Delaying  
(e.g. temporal discounting)

Stopping  
(e.g. SSRT)

Not Starting  
(e.g. Go/NoGo)

# Validation Issues

## The *G2i* Problem

“*Science* attempts to discover the universals hiding among the particulars; *Trial courts* attempt to discover the particulars hiding among the universals.”

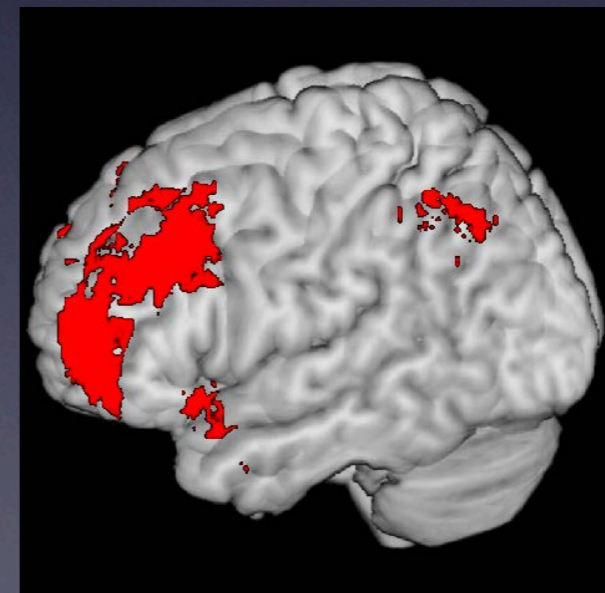
# Validation Issues

## The *G2i* Problem



Condition 1: “Tell the Truth”

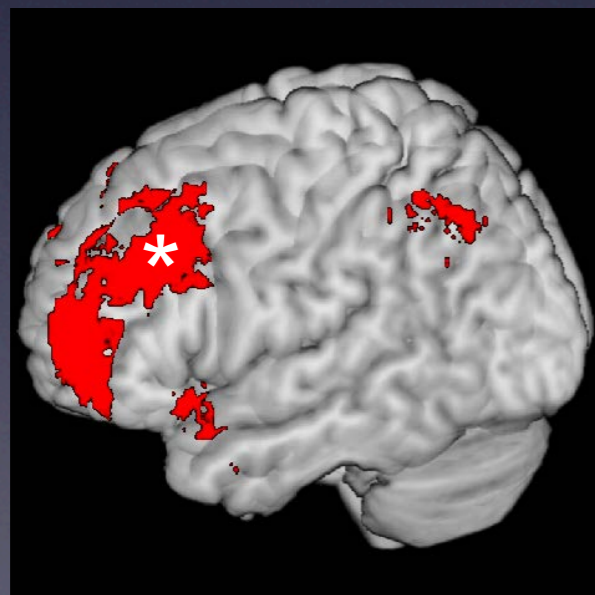
Condition 2: “Tell a Lie”



Lying > Truth

# Validation Issues

## The *G2i* Problem



Lying > Truth



Subject	Truth	Lying
1		
2		
3		
4		
5		
6		
7		
8		
9		
10		

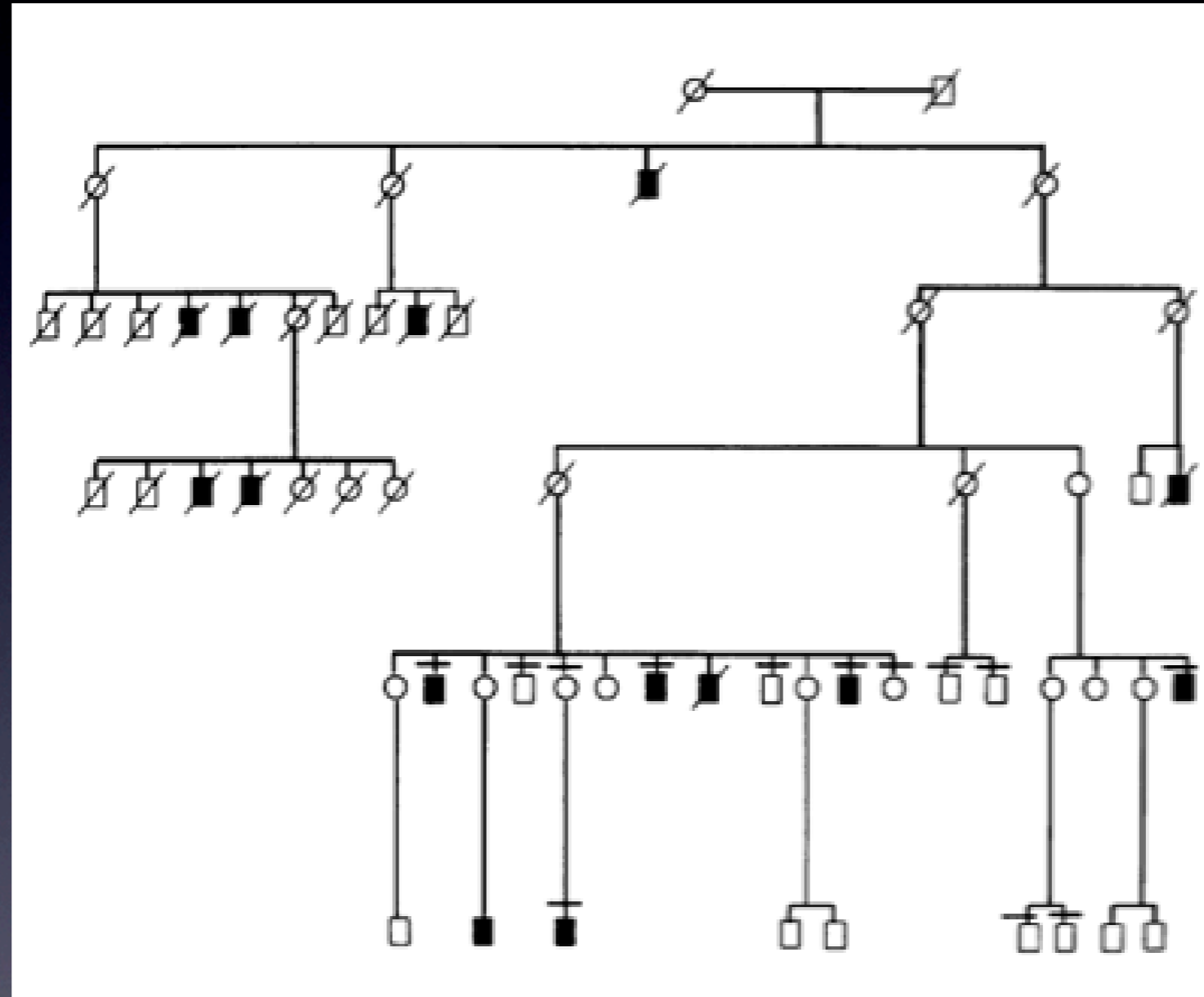
# The *G2i* Problem

## MAOA: Requiem for A “Violence” Gene



# The *G2i* Problem

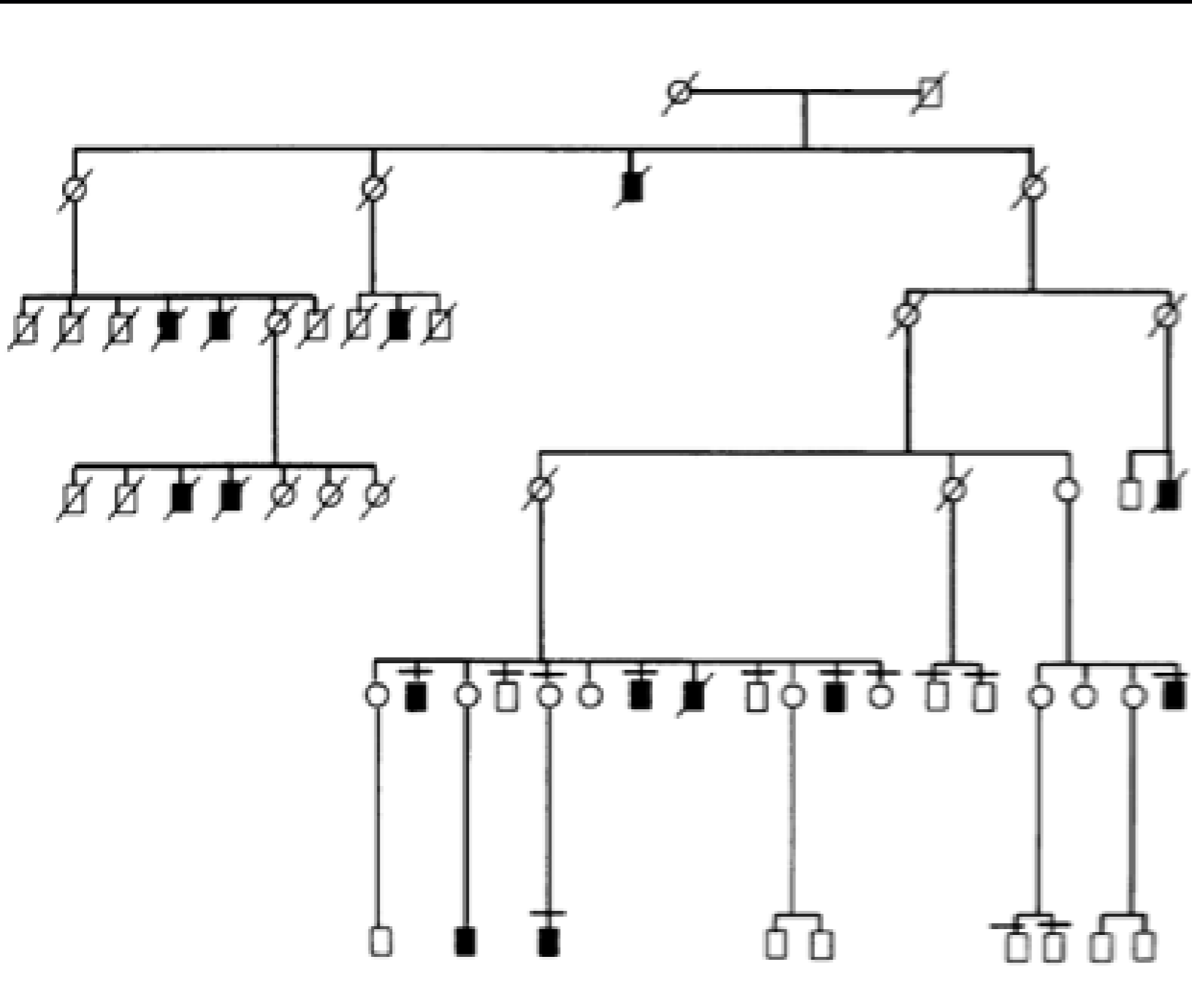
## MAOA: Requiem for A “Violence” Gene



GENETIC PEDIGREE OF VIOLENT DUTCH FAMILY

# The *G2i* Problem

## MAOA: Requiem for A “Violence” Gene

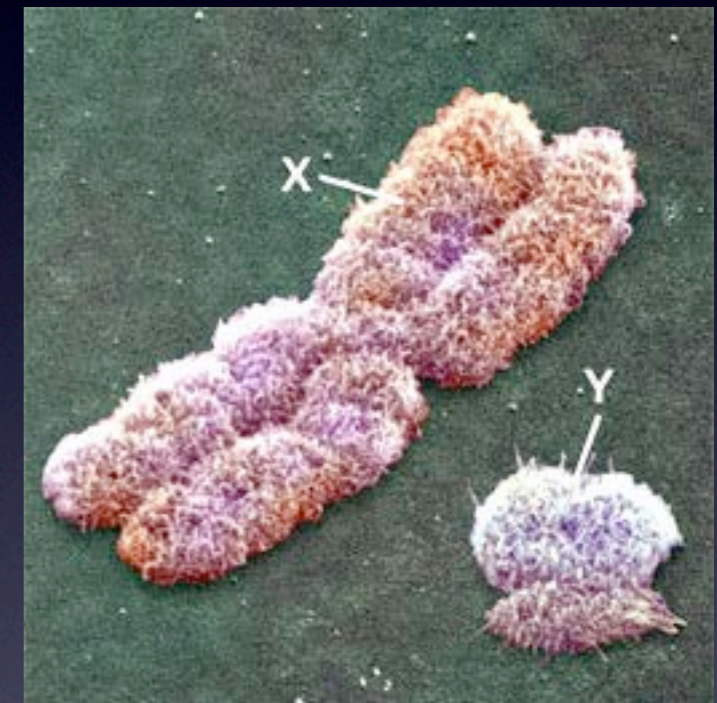
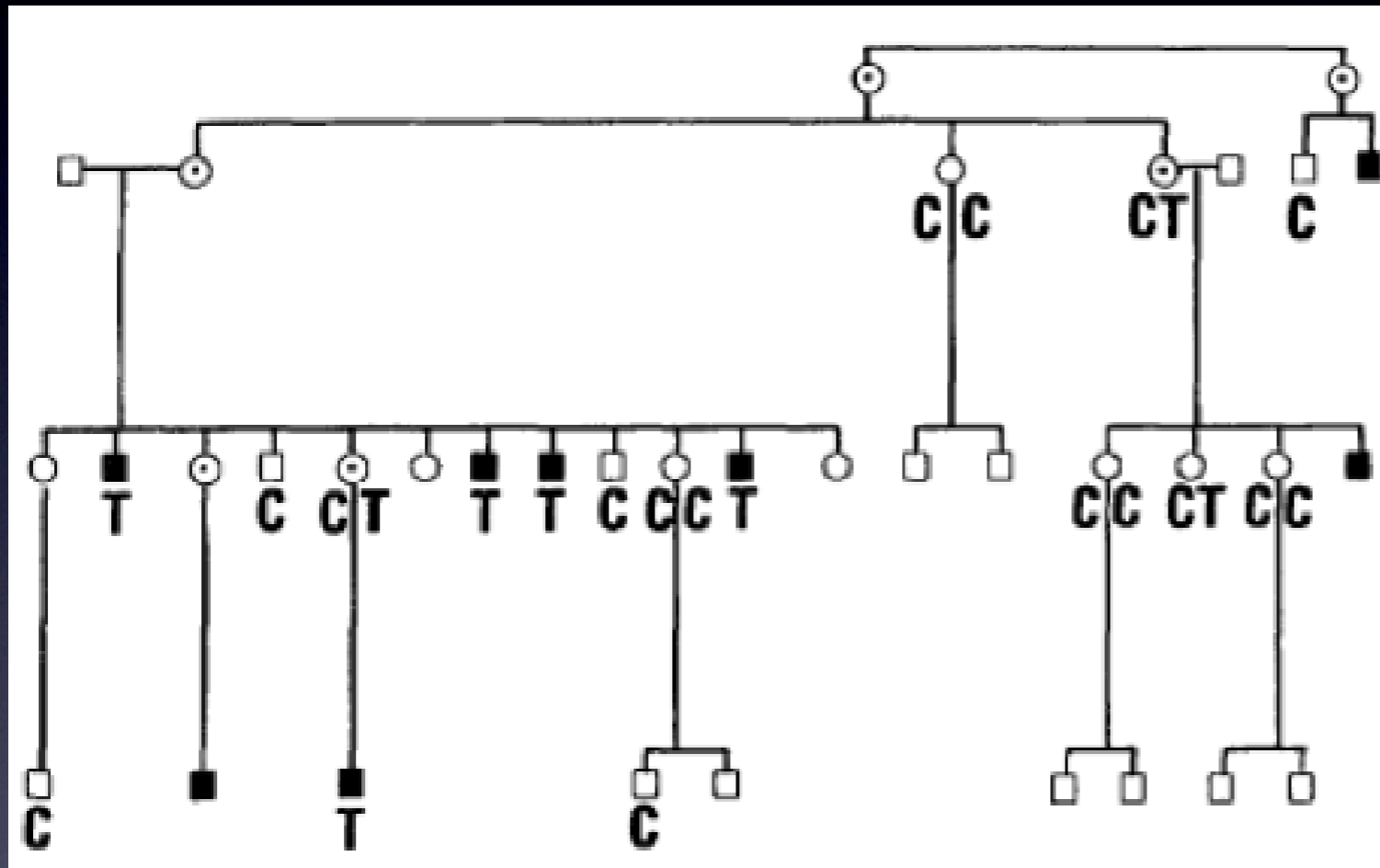


Han Brunner

GENETIC PEDIGREE OF VIOLENT DUTCH  
FAMILY

# The *G2i* Problem

## MAOA: Requiem for A “Violence” Gene

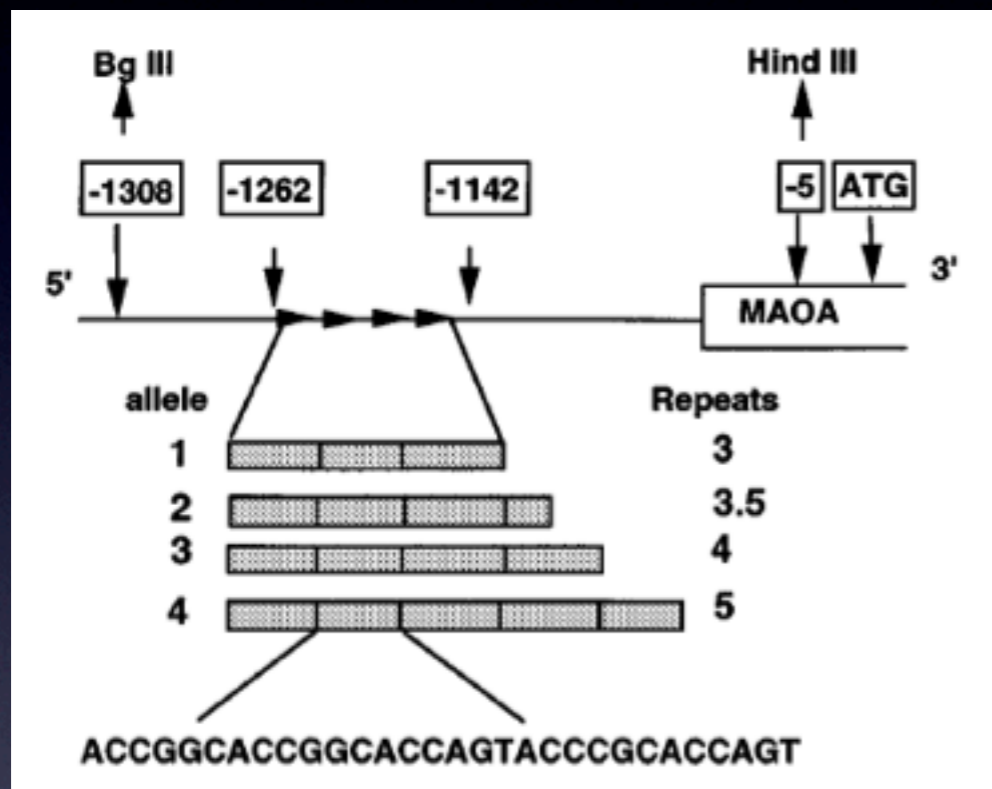


Premature STOP mutation in *MAOA*

Functional Human *MAOA* knockout

# The *G2i* Problem

## MAOA: Requiem for A “Violence” Gene

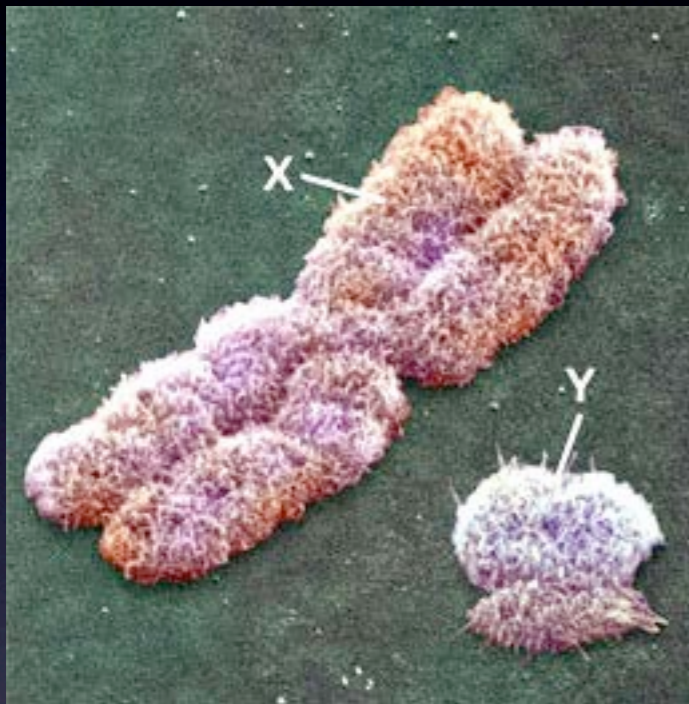


The MAOA uVNTR:  
A Common Functional  
Polymorphism

Repeats (allele)	3	3.5	4	5
Expression	LOW	HIGH	HIGH	LOW
5HT Levels	HIGH	LOW	LOW	HIGH
RISK	HIGH	LOW	LOW	HIGH

# The *G2i* Problem

## MAOA: Requiem for A “Violence” Gene

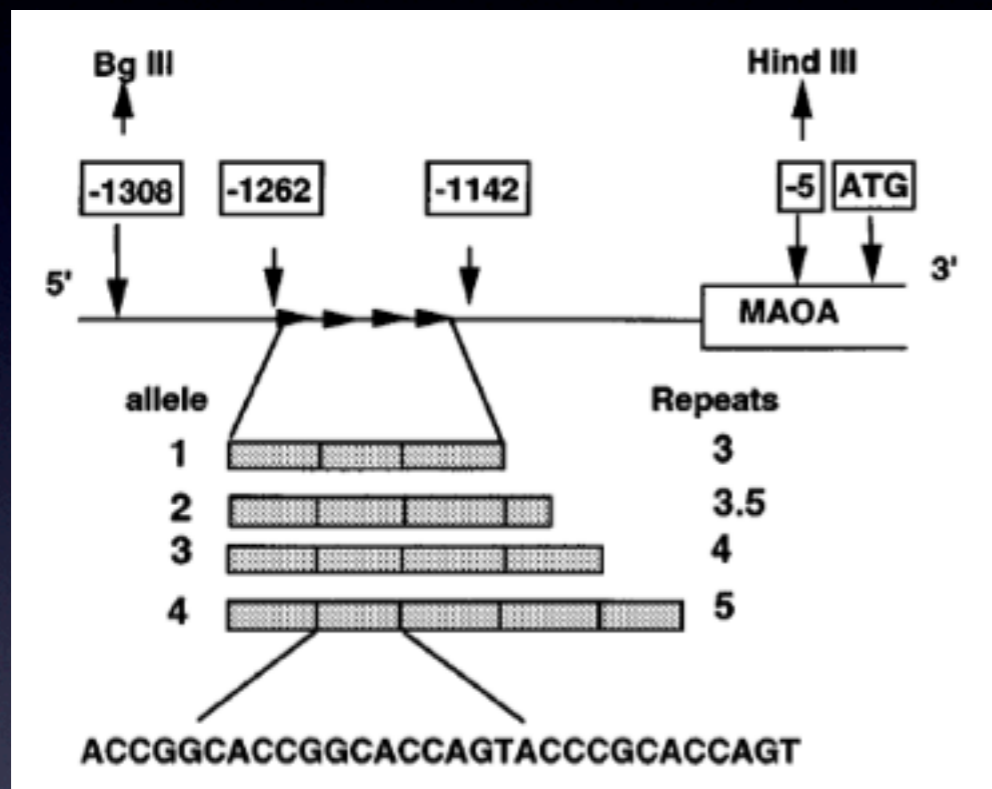


	Low Allele	High Allele
Violent	55%	50%
Not Violent	45%	50%

Repeats (allele)	3	3.5	4	5
Expression	LOW	HIGH	HIGH	LOW
5HT Levels	HIGH	LOW	LOW	HIGH
RISK	HIGH	LOW	LOW	HIGH

# The *G2i* Problem

## MAOA: Requiem for A “Violence” Gene



Associations to Aggressive Traits	
Associations to Aggressive Behavior	
Associations to “unrelated” phenotypes	
Weak Effect Sizes	
Null Findings	

Repeats (allele)	3	3.5	4	5
Expression	LOW	HIGH	HIGH	LOW
5HT Levels	HIGH	LOW	LOW	HIGH
RISK	HIGH	LOW	LOW	HIGH

# Are Legally Meaningful Inferences Valid?

G2I



Intent

Bias

Suffering

Lying

Maturity

Impairment

Violence Prediction

Treatment Prediction

Multi-dimensional Constructs Problems

Bad Reverse Inference

Face Validity Problems

Thresholding/Cutoff Issues


Sensitivity/Specificity

Polygenicity

Pleiotropy

# Neuroscience and Law: Tips for a Happy Marriage

## *Begin With Translation*

Domain	Legal Standards	What Does Law Want Data to Say?	What Does Science Think It Can Say?	Incremental Validity?
				
				
				

# Neuroscience and Law: Tips for a Happy Marriage

## *Solve the G2i Problem*

Domain	Legal Standards	What Does Law Want Data to Say?	What Does Science Think It Can Say?	Incremental Validity?
